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Parts Adapted From the Arizona Department of Education Exceptional Student Services, 2007

# Introduction

The prior written notice, referred to in this document as the PWN, is a vital component of the procedural safeguards that schools make available to special education students and their parents. Providing a timely and correct PWN is essential to protecting the rights of special education students and their parents.

If completed accurately, the PWN provides a clear record for the student, parents, and school of the decisions that have been made; the basis for those decisions; and the actions that will or will not be taken. This record may be referred to in any number of circumstances, such as subsequent meetings or dispute resolution situations, or as a clarification and reminder to all parties of commitments made. The prior written notice documents any proposals or refusals with regard to special education matters.

The basic components of the PWN are important and serve as additional reminders in informing parent(s) of decisions that have been made. The PWN must provide:

- a description of the <u>school's action(s)</u>, proposed or refused
- an explanation of <u>why the school proposes or refuses to act</u>
- a description of <u>other options</u> the school considered and why they were rejected
- a description of <u>evaluations, tests, records, or reports</u> the school used as a basis for the action proposed or refused
- a description of <u>other factors relevant</u> to the school's proposal or refusal
- a statement that <u>procedural safeguards</u> are available to the parents and how to get a description of them
- a statement of <u>whom the parent(s) of a child with a disability may contact to get help</u> in understanding their rights and the rights of their child afforded under the protection of the Individuals with Disabilities Education Improvement Act of 2004 (IDEIA '04).

The purpose of this document is to give readers information, clarification, guidance, and examples relating to using the PWN. This document includes:

- The state and federal laws and regulations relative to the PWN Guidance as to when PWNs must be provided
- Examples of written PWNs for many common special education situations
- Charts, which show the integration of PWN with the overall special education process
- Helpful tips for writing PWNs

Information in this document is directed to special and general education teachers, administrators, related service providers, parents, and all interested parties who wish to know more about the PWN. As required by Code of Federal Regulations 34 CFR §300.503

# When Should the Prior Written Notice Be Provided?

#### Eight Times to Provide the Prior Written Notice

#### 34 CFR §300.503

Written notice that meets the requirements under section 300.503 (b) must be given to the parents of a child with a disability a reasonable time before the public agency –

- (i) Proposes to initiate or change identification, evaluation, or educational placement of the child or the provision of FAPE to the child; or
- (ii) Refuses to initiate or change identification, evaluation, or educational placement of the child or the provision of FAPE to the child.

#### Eight Times a Public Agency Must Provide Section §300.503 Notice

- 1. When the public agency **proposes** to initiate or change **identification** of a student.
- 2. When the public agency proposes to initiate or change the evaluation of a student.
- 3. When the public agency **proposes** to initiate or change the **educational placement** of a student.
- 4. When the public agency **proposes** to initiate or change the provision of free, appropriate public education (**FAPE**) to a student.
- 5. When the public agency **refuses** to initiate or change **identification** of a student.
- 6. When the public agency **refuses** to initiate or change the **evaluation** of a student.
- 7. When the public agency **refuses** to initiate or change the **educational placement** of a student.
- 8. When the public agency **refuses** to initiate or change the provision of **FAPE** to a student.

## What Content Should the Prior Written Notice Include?

#### Seven Items the Prior Written Notice Must Contain

- 1. A description of the action proposed or refused by the agency;
- 2. An explanation of why the agency proposed or refused to take the action;
- 3. A description of each evaluation procedure, assessment, record, or report the agency used as a basis for the proposed or refused action;

- 4. A statement that the parents of the child with a disability have protection under the procedural safeguards of this part and, if this notice is not an initial referral for evaluation, the means by which a copy of a description of the procedural safeguards can be obtained;
- 5. Sources for parents to contact to obtain assistance in understanding the provisions of this part;
- 6. A description of any other options that the IEP Team considered and the reasons why those options were rejected;
- 7. A description of the other factors relevant to the agency's proposal or refusal.

#### NOTE:

The notice must be written in language understandable to the general public; and provided in the native language of the parents or other mode of communication used by the parent, unless it is clearly not feasible to do so.

If the native language/mode of communication of the parent is not a written language, steps must be taken to translate the notice orally or by other means to the parent in their native language/mode of communication, ensuring that the parent understands the notice. Written evidence documenting these requirements must be maintained by the public education agency.

\*Please contact your district special education administrator if you are unsure who will be completing specific kinds of PWNs, as it is up to the individual district to make the determination.

# **Procedural Safeguards Notice**

A copy of the procedural safeguards available to the parents of a child with a disability must be given to the parents only one time a school year, except that a copy must also be given to the parents:

- Upon initial referral or parent request for evaluation;
- Upon receipt of the first State complaint and upon receipt of the first due process complaint in a school year;
- In accordance with the discipline procedures;
- Upon request by a parent

# What Circumstances Require a Prior Written Notice? Note: Almost <u>Every IEP</u> Will Require A PWN to Be Completed Following the Meeting

Events Requiring a Prior Written Notice	YES	NO
Identification		
Screening of all students		X
Screening of <b>individual</b> student (by any service provider)	X	
Student Success Team(SST)/Response to Intervention(RtI)		X
Intervention strategies		X
Referral for initial evaluation	X	
Evaluation		
Collection of new data for initial evaluation and reevaluation	X	
Evaluation of progress on the annual goals		Χ
Administration of statewide or school wide assessments		Χ
Independent education evaluation (provided by district)	X	
Determination of eligibility upon completion of an initial evaluation or reevaluation	X	
Eligibility issues	X	
Refusal to conduct an evaluation	X	
Educational Placement		
Initial educational placement into special education	X	
Relocation of the special education program	X	
Any change in educational placement	X	
Termination of special education and related services	X	
Transfer of student to another school or district (By IEP team)	X	
Graduation with a regular diploma	X	
Discipline		1
Disciplinary removal for more than 10 consecutive school days	X	
Disciplinary removal for <b>not more than 10</b> school days		X
A series of disciplinary removals that constitute a pattern of removals (10 days)	X	
Disciplinary removal to an IAES for not more than 45 school days	X	
Provision of FAPE		
Deletion or addition of a related service	X	
Change in annual goals on an existing IEP	X	
Increase or decrease in special education services or related services	X	
Change in how a student will participate in statewide and district wide assessments	X	
Review and revision of the IEP	X	
Increase or decrease to supplementary aids and services or supports to school personnel	X	
Refusal to increase or decrease a related service	X	
Consideration of ESY if done at a separate meeting	X	
Parent revocation of consent	X	

### **Identification/Initial Evaluation**

While this step in the special education process is called identification in IDEA, it is more often referred to as the referral for evaluation. Identification occurs when a decision is made to refer an infant, preschool or school-aged student for evaluation who is not currently identified as a "child with a disability under IDEA" but is suspected of having a disability.

The purpose of the PWN at this point is to outline for the parent the decision to refer their child to the multidisciplinary evaluation team and the reasons for that referral. This PWN is provided to the parent. It serves as a written notice of the school's proposal or refusal to initiate or make changes **that affect their child**. This proposal or refusal is the decision, *not yet acted upon*, that has been reached before the review of existing data and before the collection of additional data for possible qualification for special education services. The notice allows the parents' time to seek resolution if they disagree with the school's decisions or to refuse such evaluation.

The first step in the evaluation process is to review existing data. Existing data should include: information provided by the parents of the child; current classroom-based assessments and performance in the general curriculum; formal assessments such as state and district-wide assessments (if the student has taken these assessments); teacher and related services provider observations; and pre-referral interventions, including classroom interventions.

This existing data is then summarized and included as part of the evaluation report. On the basis of the review and input from the child's parents, the team must identify what additional data, if any, are needed to determine:

- Whether the child has a particular category of disability;
- The present level of academic achievement and functional performance (PLOP) and the educational needs of the child;
- Whether the child needs special education and related services.

The team must outline for the parents its decisions regarding the evaluation process:

- The team must determine if additional data is necessary to determine eligibility.
- If additional evaluation data must be gathered, the team must develop the evaluation plan.
- The team must then obtain parent consent for the collection of new data.

The evaluation process is used to determine the child's eligibility for special education services; hence, the eligibility determination is an integral and necessary component of the evaluation process. The Prior Written Notice serves as a written notice of the school's proposal or refusal to carry out an action **that affects their child**. The school's proposal or refusal is a decision that has been reached. The notice allows the parents' time to seek resolution if they disagree with the school's decisions.

#### EXAMPLE: Proposal Identification/Initial Referral RIVERSIDE COUNTY SPECIAL EDUCATION LOCAL PLAN AREA (SELPA) INDIVIDUALIZED EDUCATION PROGRAM PRIOR WRITTEN NOTICE

Provided to parent prior to district initiation or refusal regarding change of identification, evaluation, educational placement, or provision of free appropriate public education The use and distribution of this form is limited to employees of public school agencies within the Riverside Special Education Local Plan Area (SELPA)

Student Name:	Jane	F	Same		2 / 14 / 2011
	First	Middle	Last	Birthdate	Date Notice of Procedural
<b>T</b> 1.1			P. 0	P. 6 . 61	Safeguards was sent to parent
Proposal to initia		e above named student	regarding the school d	listrict's:	
⊠Identification	🛛 Evaluation 🔲 Ed	lucational Placement	] The provision of a fre	e appropriate publ	ic education to your child
other options tha written permissic procedures and	it were considered and on must be given befo	d the reasons why those of re we assess your child y be given to your child.	options were rejected, an to determine eligibility.	nd other factors that You have the right to	te this action, a description of any are relevant in this proposal. Your o be familiar with the assessment ill be notified in writing of an IEP
If your child is fo	und eligible for special	education services, a full	range of program option	s will be discussed.	
Refusal of your re	equest to initiate or o	change the:			
Identification	Evaluation E	ducational Placement	The provision of a fr	ee appropriate pub	lic education to your child
					e this action, a description of any re levant to this refusal.
					to determine eligibility for
					w about Jane. Following this bility for special education
					th you and Jane's teacher to
				•	rogress in acquiring basic
reading skills.				y y y	. •g. ••• q
Description of evaluation	ation procedures, tes	ts, records, or reports u	sed in deciding to prop	oose or refuse this	action: The District
considered strateg	ies used during the	e SST/Rtl process. The	ose included such th	ings as specific p	ractice on sound-letter
relationships, phor	nemic awareness, a	and part-to-whole deco	oding. The special ed	lucation evaluatio	on will begin with a review of
what we know abo	ut Jane's current re	eading status, learning	g modes, and general	l aptitude.	
				-	until April in order to give
Jane more time to	respond; however,	we feel that waiting w	ould likely place her	further behind.	
		-		•	will be included in the
•	• • •	• •		ired before the Di	strict conduct additional
=	=	ecial education progra			
		tate and federal procedura nation of these rights. If y			enclosed NOTICE OF ights or the proposed action

Mary WhoTeacher123-4567mwho@abcusd.orgPrint Name of District ContactPositionPhoneE-mail Address

and/or referral please contact:

#### EXAMPLE: Refusal Identification/Initial Referral RIVERSIDE COUNTY SPECIAL EDUCATION LOCAL PLAN AREA (SELPA) INDIVIDUALIZED EDUCATION PROGRAM PRIOR WRITTEN NOTICE

Provided to parent prior to district initiation or refusal regarding change of identification, evaluation, educational placement, or provision of free appropriate public education The use and distribution of this form is limited to employees of public school agencies within the Riverside Special Education Local Plan Area (SELPA)

Student Name:	Jack	В	Nimble	/ /	<u>2</u> / <u>14</u> / <u>2011</u>
	First	Middle	Last	Birthdate	Date Notice of Procedural Safeguards was sent to parent
This notice is to in	nform the parent(s) of t	he above named student	regarding the school	district's:	

Proposal to initiate or change the:

🗌 Identification 🗌 Evaluation 🔲 Educational Placement 🔲 The provision of a free appropriate public education to your child

This notice includes a description of the proposed action, an explanation of why the district proposed to take this action, a description of any other options that were considered and the reasons why those options were rejected, and other factors that are relevant in this proposal. Your written permission must be given before we assess your child to determine eligibility. You have the right to be familiar with the assessment procedures and type of tests that may be given to your child. After the assessment is completed, you will be notified in writing of an IEP meeting to discuss the results of the evaluation.

If your child is found eligible for special education services, a full range of program options will be discussed.

#### Refusal of your request to initiate or change the:

Identification Evaluation Educational Placement The provision of a free appropriate public education to your child

This notice includes a description of action being refused, an explanation of why the district refused to take this action, a description of any other options that were considered and the reasons why those options were rejected, and other factors that are relevant to this refusal.

<u>Description of proposed or Refused action</u>: After consideration of the parent's request to refer Jack for a special education evaluation, the District determined that the intervention strategies utilized as a result of the Rtl process appear to be working, and additional evaluation measures are not warranted.

<u>Reason(s) for proposed or refused action</u>: Jack's current classroom performance does not indicate the need for a special education evaluation at this time.

<u>Description of evaluation procedures, tests, records, or reports used in deciding to propose or refuse this action</u>: A structured phonics program was implemented along with a daily guided reading session.

<u>Description of other options considered and reasons for rejecting them</u>: The Student Success/Rtl Team, which included Jack's teacher, reviewed his present level of performance, his strengths as well as his needs. The team developed several intervention strategies that the classroom teacher has since implemented. Definite improvement and progress was noted and documented. As a result, the team felt that Jack is able to progress at a significant rate when a variety of materials and methods are utilized. Testing for special education does not appear to be necessary at this time.

<u>Other factors relevant to the proposal or refusal</u>: Jack's classroom teacher has experience with research-based reading strategies and is able to accommodate Jack's needs within the general classroom.

Bob Who	School Psychologist	123-4567	Bwho@abcusd.org
Print Name of District Contact	Position	Phone	E-mail Address

### **Educational Placement**

When a child has been evaluated and found to be eligible for special education, the appropriate educational placement for that child cannot be determined until decisions have been made about the child's needs and the services that the public agency will provide to meet those needs. Thus, the initial IEP must be developed before any placement decision concerning special education can be made.

Stated another way, after determining that a child is eligible for special education, i.e., the child has a disability <u>and</u> requires special education services, the child's IEP team must then develop an appropriate IEP to meet the child's educational needs. The public agency must then make the proposal to place the child in special education in order to implement services. The PWN will include the decisions for any proposals and refusals in implementing the proposed IEP and the decision to place the student in special education. At this time, the parent must provide written informed consent for initial placement before any special education services can be delivered. The parent must also be a participant in the development of the IEP.

Subsequently, any time a change of educational placement for that child occurs, a prior written notice must be given to the parents. For examples, please see "What Circumstances Require a Prior Written Notice" on page 7.

The intent of the PWN is to provide parents with written notice of the school's proposal or refusal to carry out an action **that affects their child**. The school's proposal or refusal is a decision that has been reached <u>but not yet acted upon</u>. The notice allows the parents' time to seek resolution if they disagree with the school's decisions.

### **Free Appropriate Public Education (FAPE)**

FAPE (Free Appropriate Public Education) is defined as the special education and related services that are provided under public supervision and direction without charge and defined by the standards of the state.

A PWN must summarize the actions proposed or rejected that relate to the provision of FAPE (or the provision of special education services). The prior written notice should also contain the actions and options that were proposed and considered at the meeting, but that were determined not appropriate for the child.

For the initial placement in special education, the IEP team must first determine what constitutes FAPE for the child. Next, the parent must consent to the placement outlined in the student's IEP. The prior written notice provided in this step of the special education process would include the decisions for both proposals and/or refusals in the development of the IEP and the decision to place the student into special education.

One PWN can serve several purposes. It may document an eligibility decision, a FAPE decision, and an initial placement decision if all of the decisions occur within the same meeting. Each of

these three actions, proposed or refused, should be outlined on each area of the notice.

The intent of the PWN is to provide parents with notice of an action **that affects their child** that has been decided upon but not yet acted upon. This means that the PWN is sent out <u>after the IEP</u> meeting but before the change occurs. The notice allows the parents to take steps to stop the action before implementation if they have objections to the action(s).

### **Eligibility Determination**

Individual Education Program (IEP) Team must first determine:

- 1. Whether a child meets the criteria for a particular disability category;
- 2. If the disability adversely affects the educational performance of the child; and
- 3. If the student requires special education services.

After the IEP team (which includes the parent) determines eligibility, a PWN must be provided to document the team's eligibility determination, the final step in the evaluation process. The intent of the PWN is to provide parents with written notice of the school's proposal or refusal to carry out an action **that affects their child**.

NOTE: The PWN is generally completed and given to parents **following** the IEP meeting that established eligibility and services.

#### EXAMPLE: Proposal Initial IEP Meeting RIVERSIDE COUNTY SPECIAL EDUCATION LOCAL PLAN AREA (SELPA) INDIVIDUALIZED EDUCATION PROGRAM PRIOR WRITTEN NOTICE

Provided to parent prior to district initiation or refusal regarding change of identification, evaluation, educational placement, or provision of free appropriate public education The use and distribution of this form is limited to employees of public school agencies within the Riverside Special Education Local Plan Area (SELPA)

Student Name:	Jane	D	Knee	/ /	<u>2</u> / <u>14</u> / <u>2011</u>
	First	Middle	Last	Birthdate	Date Notice of Procedural
					Safeguards was sent to parent

This notice is to inform the parent(s) of the above named student regarding the school district's:  $\square$  Proposal to initiate or change the:

☐ Identification ☐ Evaluation ☐ Educational Placement ☐ The provision of a free appropriate public education to your child

This notice includes a description of the proposed action, an explanation of why the district proposed to take this action, a description of any other options that were considered and the reasons why those options were rejected, and other factors that are relevant in this proposal. Your written permission must be given before we assess your child to determine eligibility. You have the right to be familiar with the assessment procedures and type of tests that may be given to your child. After the assessment is completed, you will be notified in writing of an IEP meeting to discuss the results of the evaluation.

If your child is found eligible for special education services, a full range of program options will be discussed.

Refusal of your request to initiate or change the:

☐ Identification ☐ Evaluation ☐ Educational Placement ☐ The provision of a free appropriate public education to your child

This notice includes a description of action being refused, an explanation of why the district refused to take this action, a description of any other options that were considered and the reasons why those options were rejected, and other factors that are relevant to this refusal.

<u>Description of</u> <u>proposed or</u> <u>refused action</u>: The district proposes to classify Jane as a student with a learning disability in the area of reading. The district also proposes to provide her with specialized instruction in reading in a resource room with other students with disabilities in accordance with her IEP. Informed parental consent for initial placement was collected on this date.

<u>Reason(s) for</u> <u>refused action</u>: Jane's evaluation results indicate that she is a child with a disability, and it is unlikely that she will progress satisfactorily in reading without highly specialized instruction. While this assistance could be delivered in the general classroom, Jane has told both her teacher and her parents that she is embarrassed to receive special attention in her general education classroom.

<u>Description of evaluation procedures, tests, records, or reports used in deciding to propose or refuse this action</u>: Teacher and reading specialist observations and curriculum based assessments, standardized reading and language assessments, and a test of general intelligence were used to determine that Jane has a learning disability in the area of reading, and to develop the IEP. <u>Description of other options considered and reasons for rejecting them</u>: The team considered not providing special education for reading but felt that her progress would be insufficient to achieve educational success. Jane's sensitivity to being singled out caused the team to reject special education services within her general classroom. The team also considered a full-time special education program for Jane but determined that she does not need assistance in other areas of learning, nor the intensity/restrictiveness of such a program.

<u>Other factors relevant to the proposal or refusal</u>: The district will implement the IEP immediately as a result of obtained parental consent for special education placement.

Peter Pumpkin	Teacher	123-4567	ppumpkin@abcusd.org
Print Name of District Contact	Position	Phone	E-mail Address

# EXAMPLE: Proposal to Assess for Special Education RIVERSIDE COUNTY SPECIAL EDUCATION LOCAL PLAN AREA (SELPA) INDIVIDUALIZED EDUCATION PROGRAM **PRIOR WRITTEN NOTICE**

Provided to parent prior to district initiation or refusal regarding change of identification, evaluation, educational placement, or provision of free appropriate public education The use and distribution of this form is limited to employees of public school agencies within the Riverside Special Education Local Plan Area (SELPA)

Student Name:	Matt	Т	Tall	/ /	/ /
	First	Middle	Last	Birthdate	Date Notice of Procedural
This nation is to info		- above named atvid	ant vanavalium tha ashaal	diatriatia.	Safeguards was sent to parent
Proposal to initiat		e above named stud	ent regarding the school	district's:	
·	•	ucational Placement	The provision of a fr	ee annronriate nub	ic education to your child
					this action, a description of any
					are relevant in this proposal. Your
					o be familiar with the assessment
	type of tests that may ss the results of the eva		ld. After the assessment	is completed, you w	ill be notified in writing of an IEP
Ũ					
•	•		full range of program option	ns will be discussed.	
	equest to initiate or c	•			
			- •		lic education to your child
					e this action, a description of any
other options that	it were considered and	the reasons why thos	e options were rejected, an	d other factors that a	re relevant to this refusal.
			ct is requesting parent		
-		iched Assessment	t Plan (AP) explaining :	the types of asse	ssments that the
District would like					
Reason(s) for Kpro	sst/Teacher refer		education assessment Parent request	•• •	
			I		specify:)
Description of evaluation			s used in deciding to pro		
		cation assessment	•	•	ras:
the decision to pro		Drior accoccmo	nte District acado	mia secocomonte	C97
the decision to pro	ST documents	Prior assessme	ntsDistrict acade	mic assessments	CST
the decision to pro		Prior assessme	ntsDistrict acade	mic assessments	CST
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the decision to pro S Oth Description of other	ST documents _ er (specify:) options considered a	nd reasons for reject	ting them: The District c	onsidered the op	CST tion of not conducting a s evaluation to explore
the decision to pro S Oth <u>Description of other</u> special education	ST documents _ er (specify:) options considered a	nd reasons for reject vever, it rejected th	ting them: The District c	onsidered the op	tion of not conducting a
the decision to pro SS Oth <u>Description of other</u> special education whether the stud	ST documents er (specify:) options considered a on assessment. How dent qualifies for sp	nd reasons for reject vever, it rejected th pecial education.	ting them: The District c	onsidered the op ided to pursue thi	tion of not conducting a sevaluation to explore
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the decision to pro S Oth <u>Description of other</u> special education whether the stur <u>Other factors relevan</u> excess of five days	ST documents er (specify:) options considered a on assessment. How dent qualifies for sp at to the proposal or is s), from the date the	nd reasons for reject vever, it rejected th pecial education. r <u>efusal</u> : The Distric signed Assessme	ting them: The District c his option, and has dec t will schedule an IEP w nt Plan (AP) is received	onsidered the op ided to pursue thi vithin 60 days (no l.	tion of not conducting a s evaluation to explore t counting breaks in
the decision to pro S Oth <u>Description of other of</u> special education whether the stud <u>Other factors relevan</u> excess of five days Information regard	ST documents er (specify:) options considered a on assessment. How dent qualifies for sp at to the proposal or i s), from the date the ding parent rights a	nd reasons for reject vever, it rejected th pecial education. refusal: The Distric signed Assessme nd the IEP process	ting them: The District c his option, and has dec t will schedule an IEP v nt Plan (AP) is received s is available at the dis	onsidered the op ided to pursue thi vithin 60 days (no l. trict website and	tion of not conducting a s evaluation to explore at counting breaks in in the attached copy
the decision to pro S Oth <u>Description of other</u> special education whether the stur <u>Other factors relevan</u> excess of five days Information regard of Parent Rights an	ST documents er (specify:) options considered a on assessment. How dent qualifies for sp it to the proposal or i s), from the date the ding parent rights a nd Procedural Safe	nd reasons for reject vever, it rejected th pecial education. <u>refusal</u> : The Distric signed Assessme nd the IEP process guards. If you hav	ting them: The District c his option, and has dec t will schedule an IEP v nt Plan (AP) is received s is available at the dis e questions regarding	onsidered the op ided to pursue thi vithin 60 days (no l. trict website and the assessment,	tion of not conducting a is evaluation to explore it counting breaks in in the attached copy
the decision to pro S Oth <u>Description of other</u> special education whether the stud <u>Other factors relevan</u> excess of five days Information regard of Parent Rights an re: the sections of	ST documents er (specify:) options considered a on assessment. How dent qualifies for sy at to the proposal or i s), from the date the ding parent rights a nd Procedural Safe the evaluation you	nd reasons for reject vever, it rejected th becial education. <u>refusal</u> : The Distric signed Assessme nd the IEP process guards. If you hav are required to con	ting them: The District c his option, and has dec t will schedule an IEP v nt Plan (AP) is received s is available at the dis e questions regarding nplete, please contact t	onsidered the optided to pursue thi ided to pursue thi vithin 60 days (no l. trict website and the assessment, he person below.	tion of not conducting a s evaluation to explore at counting breaks in in the attached copy or need instructions
the decision to pro S Oth Description of other special education whether the stud Other factors relevan excess of five days Information regard of Parent Rights an re: the sections of Parents/Guardians have	ST documents er (specify:) options considered a on assessment. How dent qualifies for sp at to the proposal or i s), from the date the ding parent rights a nd Procedural Safe the evaluation you we protections under st GUARDS for an explar	nd reasons for reject vever, it rejected th pecial education. refusal: The Distric signed Assessme nd the IEP process guards. If you hav are required to con ate and federal proces	ting them: The District c his option, and has dec t will schedule an IEP w nt Plan (AP) is received is is available at the dis e questions regarding nplete, please contact t dural safeguard provisions.	onsidered the op ided to pursue thi vithin 60 days (no d. trict website and the assessment, <u>the person below.</u> Please refer to the o	tion of not conducting a s evaluation to explore at counting breaks in in the attached copy or need instructions

Print Name of District Contact

Phone

#### EXAMPLE: Proposal FAPE & Annual Review RIVERSIDE COUNTY SPECIAL EDUCATION LOCAL PLAN AREA (SELPA) INDIVIDUALIZED EDUCATION PROGRAM PRIOR WRITTEN NOTICE

Provided to parent prior to district initiation or refusal regarding change of identification, evaluation, educational placement, or provision of free appropriate public education The use and distribution of this form is limited to employees of public school agencies within the Riverside Special Education Local Plan Area (SELPA)

Student Name:	Jane	D	Jones	/ /	<u>2</u> / <u>14</u> / <u>2011</u>
	First	Middle	Last	Birthdate	Date Notice of Procedural
					Safeguards was sent to parent
	orm the parent(s) of th ate or change the:	ne above named student	regarding the school	district's:	
Identification	Evaluation E	ducational Placement 🛛	☑The provision of a free	e appropriate public	c education to your child
other options th written permissi procedures and meeting to discu	at were considered and on must be given before I type of tests that ma uss the results of the even	d the reasons why those or ore we assess your child y be given to your child.	options were rejected, a to determine eligibility. After the assessment	nd other factors that a You have the right to is completed, you wi	e this action, a description of any are relevant in this proposal. Your o be familiar with the assessment ill be notified in writing of an IEP
Refusal of your r	request to initiate or	change the:			
Identification	Evaluation E	ducational Placement [	The provision of a f	ree appropriate publ	lic education to your child
					e this action, a description of any re relevant to this refusal.
Description of	proposed or refuse	d action: The IEP team	n reviewed Jane's pro	ogress and curren	t program. A new IEP was
				-	eam decided to increase
her level of servi	ce.			-	
<u>Reason(s) for</u> 🖂	proposed or 🗌 refu	sed action: Jane didn't	achieve the level of	progress needed t	to meet her IEP goals.
					<u>action</u> : The review of ires resource pull-out

services for reading. The team determined that resource services and speech therapy are still necessary for Jane to make adequate progress.

<u>Description of other options considered and reasons for rejecting them</u>: The IEP team considered maintaining Jane's level of specialized academic instruction. Discussion by the team of her current reading level and needs in the general classroom clearly demonstrated the need for increasing the previous IEP's level of support and service time.

<u>Other factors relevant to the proposal or refusal</u>: The district will implement an additional research-based reading program next year. She will also be provided ESY services for one hour three times a week for reading comprehension, due to the significant and severe regression without recoupment during breaks of more than one week.

Peter Pumpkin	Teacher	123-4567	ppumpkin@abcusd.org
Print Name of District Contact	Position	Phone	E-mail Address

#### EXAMPLE: Proposal/Refusal FAPE & Parent Requested Aide Support RIVERSIDE COUNTY SPECIAL EDUCATION LOCAL PLAN AREA (SELPA) INDIVIDUALIZED EDUCATION PROGRAM PRIOR WRITTEN NOTICE

Provided to parent prior to district initiation or refusal regarding change of identification, evaluation, educational placement, or provision of free appropriate public education

The u	ise and distribution of this form is	limited to employees of pub	lic school agencies within the Ri	verside Special Educatio	n Local Plan Area (SELPA)
Student Name:	Jane	D	Johnson	//	<u>2/14/2011</u>
	First	Middle	Last	Birthdate	Date Notice of Procedural
					Safeguards was sent to parent

This notice is to inform the parent(s) of the above named student regarding the school district's: Proposal to initiate or change the:

□ Identification □ Evaluation □ Educational Placement ⊠ The provision of a free appropriate public education to your child

This notice includes a description of the proposed action, an explanation of why the district proposed to take this action, a description of any other options that were considered and the reasons why those options were rejected, and other factors that are relevant in this proposal. Your written permission must be given before we assess your child to determine eligibility. You have the right to be familiar with the assessment procedures and type of tests that may be given to your child. After the assessment is completed, you will be notified in writing of an IEP meeting to discuss the results of the evaluation.

If your child is found eligible for special education services, a full range of program options will be discussed.

Refusal of your request to initiate or change the:

□ Identification □ Evaluation □ Educational Placement ⊠ The provision of a free appropriate public education to your child This notice includes a description of action being refused, an explanation of why the district refused to take this action, a description of any other options that were considered and the reasons why those options were rejected, and other factors that are relevant to this refusal.

<u>Description of</u>  $\boxtimes$  proposed or  $\boxtimes$  refused action: Jane's parents have proposed full-time instructional assistance be provided for Jane in the classroom.

<u>Reason(s) for proposed or refused action</u>: The IEP team determined, upon review of Jane's progress and after completing the RSIA process, that she is progressing satisfactorily with her current level of services, which includes instructional assistance support for a portion of the day during reading, writing, and math instruction in the general classroom. Jane will receive an additional 30 minutes daily from the special education teacher to support her goals of developing organizational skills, reinforcing concepts taught in the general classroom, as well as developing skills of independence.

<u>Description of evaluation procedures, tests, records, or reports used in deciding to propose or refuse this action</u>: Teachers' observations and input, grades, anecdotal records, behavioral and progress reports and the RSIA process information were considered.

<u>Description of other options considered and reasons for rejecting them</u>: The IEP team reviewed the parent's request for instructional assistance to be assigned to support Jane throughout her 6-hour school day. After a review of progress of IEP goals, input from Jane's general education teacher, her special education teacher, and related service providers, the team felt additional instructional assistance would create a dependence on this continuous support, lessening her ability to develop independence and the ability to self-monitor. At this time, Jane has been very successful with part-time help from the instructional assistant who supports other students along with Jane during the core academic times.

<u>Other factors relevant to the proposal or refusal</u>: Jane's aide time was decreased last spring when her current IEP was revised and rewritten. Jane's progress in reading has steadily increased during this school year and other academic areas are showing good growth as well.

Mary Lamb	Spec Education Dir.	123-4567	mlamb@abcusd.org
Print Name of District Contact	Position	Phone	E-mail Address

#### EXAMPLE: Refusal of a Request to Provide a 1:1 RIVERSIDE COUNTY SPECIAL EDUCATION LOCAL PLAN AREA (SELPA) INDIVIDUALIZED EDUCATION PROGRAM PRIOR WRITTEN NOTICE

Provided to parent prior to district initiation or refusal regarding change of identification, evaluation, educational placement, or provision of free appropriate public education

The	use and distribution of this form is li	mited to employees of publ	ic school agencies within the	Riverside Special Education	n Local Plan Area (SELPA)
Student Name:	Jimmy	J	Swimmy	/ /	/ /
_	First	Middle	Last	Birthdate	Date Notice of Procedural
	•				Safeguards was sent to parent

This notice is to inform the parent(s) of the above named student regarding the school district's: Proposal to initiate or change the:

□ Identification □ Evaluation □ Educational Placement □ The provision of a free appropriate public education to your child

This notice includes a description of the proposed action, an explanation of why the district proposed to take this action, a description of any other options that were considered and the reasons why those options were rejected, and other factors that are relevant in this proposal. Your written permission must be given before we assess your child to determine eligibility. You have the right to be familiar with the assessment procedures and type of tests that may be given to your child. After the assessment is completed, you will be notified in writing of an IEP meeting to discuss the results of the evaluation.

If your child is found eligible for special education services, a full range of program options will be discussed.

Refusal of your request to initiate or change the:

☐ Identification ☐ Evaluation ⊠ Educational Placement ☐ The provision of a free appropriate public education to your child This notice includes a description of action being refused, an explanation of why the district refused to take this action, a description of any other options that were considered and the reasons why those options were rejected, and other factors that are relevant to this refusal.

<u>Description of proposed or refused action</u>: The XYZ Unified School District (XYZUSD) is denying your request to assign a one-to-one aide to Jimmy during his academic classes, lunch and recess.

<u>Reason(s) for proposed or </u>refused action: XYZUSD believes that Jimmy does not require a one-to-one aide in order to benefit from his educational program.

<u>Description of evaluation procedures, tests, records, or reports used in deciding to propose or refuse this action</u>: XYZUSD considered the following documents: Special Circumstances Instructional Assistance (SCIA) Evaluation conducted in November 2007, SCIA Evaluation Repot dated December 2, 2007, Jimmy's first semester report card and progress report, his IEP dated October 31, 2007, as well as his triennial psycho-educational report dated May 31, 2007.

<u>Description of other options considered and reasons for rejecting them</u>: At the December 14, 2007 IEP meeting, Jimmy's team considered assigning a classroom aide during Jimmy's math and science courses which are the only courses he is having difficulty. The team discussed the possible reasons for Jimmy's difficulty in these classes: failure to turn in homework and missing quizzes due to asthma attacks and leaving school early to visit his grandparents. The IEP team added a goal for homework completion and an accommodation to permit Jimmy to take quizzes he misses due to asthma attacks. XYZUSD therefore decided that the new goal and accommodation would address his difficulties in math and science, whereas adding an aide to these classes would likely cause Jimmy to revert to his negative behaviors that he displayed last year and increase his dependence on an adult to socialize and communicate appropriately with peers.

<u>Other factors relevant to the proposal or refusal</u>: When an aide was assigned to Jimmy last school year, his negative behaviors increased (inappropriately touching classmates and yelling out answers during classroom instruction), Jimmy was overly dependent upon his aide for initiating games and conversations with his peers and resisted volunteering in class. Since the beginning of this school year, when he stated EFG Middle School without an aide, Jimmy initiates games and conversations with only one verbal prompt by the recess aide and appropriately raises his hand during class to respond to questions with the teacher and classroom aide using only 2 visual prompts.

#### EXAMPLE: Refusal of a Request for an IEE RIVERSIDE COUNTY SPECIAL EDUCATION LOCAL PLAN AREA (SELPA) INDIVIDUALIZED EDUCATION PROGRAM PRIOR WRITTEN NOTICE

Provided to parent prior to district initiation or refusal regarding change of identification, evaluation, educational placement, or provision of free appropriate public education The use and distribution of this form is limited to employees of public school agencies within the Riverside Special Education Local Plan Area (SELPA)

Student Name:	Abe First	C Middle	Link Last	/ / Birthdate	/ / Date Notice of Procedural Safeguards was sent to parent
	form the parent(s) of the iate or change the:	above named student	t regarding the school	district's:	
Identification	n 🗌 Evaluation 🔲 Ed	ucational Placement [	The provision of a	free appropriate publ	ic education to your child
other options to written permiss procedures an meeting to disc If your child is	hat were considered and sion must be given before	the reasons why those of e we assess your child be given to your child. aluation. education services, a full	options were rejected, a to determine eligibility. After the assessment	and other factors that a You have the right to t is completed, you wi	e this action, a description of any are relevant in this proposal. Your b be familiar with the assessment Il be notified in writing of an IEP
🖂 Identification	n 🗌 Evaluation 🗌 Ed	ucational Placement [	The provision of a	free appropriate publ	ic education to your child
	cludes a description of ac hat were considered and				e this action, a description of any re relevant to this refusal.
Description of p		action: The District is	refusing to conduct	t an IEE in the area	of neuropsychology parent
neuropsychology				its own evaluation i	in the area of
	t entitled to an IEE in	-	-		
	uation procedures, tests				iction:
•	no-Educational Multid		•	,	
	ent's Speech and Lang		(date)		
	ent's Occupational The		(date)		
- Stude	nt's IEP meeting docu	iments of	through		
	er options considered a rejected this option du /.				
	ant to the proposal or re	efusal: - The District i	is offering to conduc	ct an evaluation in t	the area of
neuropsychology - Parent has does not ex	to disagree with an	existing District eva	luation. An evaluat	ion in the area of	neuropsychology
- District will parents ma	consider any addition ay provide.				elevant documents that
					nclosed NOTICE OF ights or the proposed action

#### EXAMPLE: Interim Placement of a Student with No Special Education Records Available RIVERSIDE COUNTY SPECIAL EDUCATION LOCAL PLAN AREA (SELPA) INDIVIDUALIZED EDUCATION PROGRAM PRIOR WRITTEN NOTICE

Provided to parent prior to district initiation or refusal regarding change of identification, evaluation, educational placement, or provision of free appropriate public education The use and distribution of this form is limited to employees of public school agencies within the Riverside Special Education Local Plan Area (SELPA)

Student Name:	Charlie	М	Brown	<u>11</u> / <u>5</u> / <u>2000</u>	<u>11</u> / <u>24</u> / <u>2009</u>
_	First	Middle	Last	D.O.B.	Date Notice of Procedural
					Safeguards was sent to parent

This notice is to inform the parent(s) of the above named student regarding the school district's:  $\square$  Proposal to initiate or change the:

#### Refusal of your request to initiate or change the

☐ Identification ☐ Evaluation ⊠ Educational Placement ⊠ The provision of a free appropriate public education to your child

This notice includes a description of action being refused, an explanation of why the district refused to take this action, a description of any other options that were considered and the reasons why those options were rejected, and other factors that are relevant to this refusal.

<u>Description of proposed or refused action</u>: XYZ school district was presented with information that included an out of date IEP and out of date eligibility assessment report for providing special education services to your child. As there is not current information available to place your child into special education, the district will be placing your child into general education class(es) and offering an assessment plan to determine eligibility for special education services.

<u>Reason(s) for proposed or refused action</u>: As there is not an existing approved IEP or triennial assessment within your child's educational records, the district will not be able to place your child into Special Education until this assessment is complete and a determination made on eligibility. Since there are questions about your child's continued need for special education services, the XYZ school district is proposing an assessment plan to determine eligibility and appropriate services, if warranted.

<u>Description of evaluation procedures, tests, records, or reports used in deciding to propose or refuse this action</u>: XYZ school district has taken reasonable steps to promptly obtain the child's records, including the IEP and supporting documents and any other records relating to the provision of special education and related services to the pupil, from the previous school in which the pupil was enrolled. No current records were available to review and determine appropriate special education services. (List out the specific attempts to locate records, e.g. phone call/mail/email with dates)

<u>Description of other options considered and reasons for rejecting them</u>: Removal from general education for the provision of special education services was rejected because current eligibility for services and current areas of identified need are not available to determine an appropriate offer of FAPE in the least restrictive environment.

<u>Other factors relevant to the proposal or refusal</u>: Since the student will be placed in a general education setting due to the current special education records being out of date (or non-existing), the XYZ school district has provided the family with an assessment plan to determine student's needs and whether the student is eligible for special education services at this time. The XYZ school district has 60 days from the receipt of the signed parental consent to the assessment plan to complete the evaluation and hold the IEP meeting to discuss the results of the assessment.

Lucy Van Pelt	Program Specialist	123-456-7890	lvpelt@xyz.org
Print name of District Contact	Position	Phone	E-mail Address

#### EXAMPLE: Proposal Change the Educational Placement Due to Graduation RIVERSIDE COUNTY SPECIAL EDUCATION LOCAL PLAN AREA (SELPA) INDIVIDUALIZED EDUCATION PROGRAM PRIOR WRITTEN NOTICE

Provided to parent prior to district initiation or refusal regarding change of identification, evaluation, educational placement, or provision of free appropriate public education The use and distribution of this form is limited to employees of public school agencies within the Riverside Special Education Local Plan Area (SELPA)

The us	e and distribution of this form i	is limited to employees of public	school agencies within the F	Riverside Special Educatio	n Local Plan Area (SELPA)
Student Name:	Jane	I	Am		
	First	Middle	Last	Birthdate	Date Notice of Procedural Safeguards was sent to parent
This notice is to info ⊠ Proposal to initia	• • • •	e above named student r	regarding the school	district's:	
Identification	Evaluation 🛛 E	ducational Placement	☐ The provision of a	free appropriate pu	blic education to your child
written permiss procedures and meeting to disc	ion must be given befor I type of tests that may uss the results of the eva	e we assess your child to be given to your child.	determine eligibility. After the assessment	You have the right t is completed, you w	are relevant in this proposal. Your o be familiar with the assessment <i>i</i> ll be notified in writing of an IEP
	request to initiate or c		_		
This notice incl	udes a description of a		cplanation of why the o	district refused to tak	<b>lic education to your child</b> the this action, a description of any are relevant to this refusal.
		<u>l action</u> : Jane will be ex State of California an			June 12, 2009 due to meeting Il requirements for
state algebra req	uirement and obtaine		tional credits within	the course of stu	gh School Exit Exam, met the Idy approved by the Board of
	uation procedures, tes umulative records w		sed in deciding to pro	opose or refuse this	action: Jane's high school

Description of other options considered and reasons for rejecting them: No other options are appropriate at this time; Jane has met all required criteria for graduation.

<u>Other factors relevant to the proposal or refusal</u>: Upon graduation, Jane will no longer be eligible for special education arid related services (EC 56026.1 (a)). A summary of performance will be provided to Jane to assist with postsecondary education and/or employment,

Parents/Guardians have protections under state and federal procedural safeguard provisions. Please refer to the enclosed NOTICE OF PROCEDURAL SAFEGUARDS for an explanation of these rights. If you would like further information about your rights or the proposed action and/or referral please contact:

Print Name of District Contact

E-mail Address

#### EXAMPLE: Refusal of Your Request for District to Pay for Outside Services RIVERSIDE COUNTY SPECIAL EDUCATION LOCAL PLAN AREA (SELPA) INDIVIDUALIZED EDUCATION PROGRAM PRIOR WRITTEN NOTICE

Provided to parent prior to district initiation or refusal regarding change of identification, evaluation, educational placement, or provision of free appropriate public education The use and distribution of this form is limited to employees of public school agencies within the Riverside Special Education Local Plan Area (SELPA)

Student Name:	Adam	В	Good	/ /	/ /
-	First	Middle	Last	Birthdate	Date Notice of Procedural Safeguards was sent to parent

This notice is to inform the parent(s) of the above named student regarding the school district's:

Proposal to initiate or change the:
Identification Evaluation Educational Placement The provision of a free appropriate public education to your child This notice includes a description of the proposed action, an explanation of why the district proposed to take this action, a description of any other options that were considered and the reasons why those options were rejected, and other factors that are relevant in this proposal. Your written permission must be given before we assess your child to determine eligibility. You have the right to be familiar with the assessment procedures and type of tests that may be given to your child. After the assessment is completed, you will be notified in writing of an IEP meeting to discuss the results of the evaluation. If your child is found eligible for special education services, a full range of program options will be discussed.
☐ Identification ☐ Evaluation ☐ Educational Placement ⊠The provision of a free appropriate public education to your child
This notice includes a description of action being refused, an explanation of why the district refused to take this action, a description of any other options that were considered and the reasons why those options were rejected, and other factors that are relevant to this refusal.
<u>Description of proposed or refused action</u> : The XYZ Unified School District (XYZUSD) is denying the parents' request to: reimburse them for the privately obtained clinic-based speech and language services m the amount of \$2,800.00 and remove Adam from school to place him in the CARD program for 40 hours per week.
Reason(s) for 🗌 proposed or 🖾 refused action: The placement and services offered by XYZUSD at Adam's IEP on February 5,
2007, meets his educational needs in the least restrictive environment, hi order for Adam to accomplish his goals and objectives,
generalize the skills he learns in the 1/2 day kindergarten special day class (SDC) and to better prepare him for first grade, he
requires both a 1/2 day kindergarten SDC and 1/2 day general education kindergarten class, rather than a one-to-one in-home
program like the one provided by CARD.
Description of evaluation procedures, tests, records, or reports used in deciding to propose or refuse this action: XYZUSD considered
the Regional Center assessment report dated June of 2006, the private speech therapist's progress reports dated from October
2007 to the present, XYZUSD assessment repots in the areas speech and language, academics and psychoeducational dated
January 15, 2007, the repot of the private speech and language therapist dated April of 2007, as well as the December 2007
observations of Adam by the school psychologist and speech therapist while he received services by his private speech therapist.
Description of other options considered and reasons for rejecting them: XYZUSD considered reimbursing Mr. and Mrs. Henandez for the privately obtained speech therapy services for Adam. XYZUSD decided against doing so because the private speech therapist did not address any of the goals in Adam's IEP and Adam made progress towards his speech and pragmatic goals worked on by the XYZUSD school speech therapist, before Adam started to receive the private speech therapy services during the summer of 2007.
Other factors relevant to the proposal or refusal: Because Adam is making substantial progress towards all of his goals and
objectives and an in-home program would not help him towards generalizing the skills he leans during the school day or help him
sustain his friendships at school, all of which will better prepare him for first grade, XYZUSD does not believe changing Adam's
program will help him receive a free appropriate public education in the least restrictive environment.
Parents/Guardians have protections under state and federal procedural safeguard provisions. Please refer to the enclosed NOTICE OF PROCEDURAL SAFEGUARDS for an explanation of these rights. If you would like further information about your rights or the proposed action

Print Name of District Contact

and/or referral please contact:

# Reevaluation

When an already identified special education student needs a three-year reevaluation, the PWN for identification is not necessary because the student has already been identified. In other words, a PWN is not required prior to the first step in the reevaluation process, reviewing existing data.

The first step is for the IEP team to review and summarize existing data so that the team can decide if the information is sufficient to determine continued eligibility. This review includes prior evaluations, information provided by the parents of the child, current classroom-based assessments and performance in the general curriculum, formal assessments such as state and district-wide assessments, and teacher and related services provider observations.

While it is clear that parents must be given the opportunity to participate in the review of existing data, it is not an action to which they have a right to object. Parents participate and contribute, but they don't have the right to object to the review taking place—only the actions that are proposed as a result of this review. Please see the Riverside County SELPA's "Determination of Need for Triennial Review Evaluation" guideline located at www.rcselpa.org.

On the basis of the review and input from the child's parents, the team next identifies what additional data, if any, are needed to determine:

- 1. Whether the child continues to have a particular category of disability;
- 2. The PLOP and the educational needs of the child;
- 3. Whether the child continues to need special education and related services; and
- 4. Whether any additions or modifications to the special education and related services are needed to enable the child to meet the measurable annual goals set out in the IEP.

If the SST/RtI members determine that **no additional data are required**, a prior written notice would not be necessary until the eligibility determination is made, possibly at the end of the meeting. This PWN would outline the IEP team's reasons for not collecting additional data, as well as the eligibility decision.

If the team determines that **additional assessments are required** as part of the child's reevaluation, the team must document this decision and the reasons for this decision, as well as describe the evaluation procedures that will be administered. When additional information is required, a prior written notice must be provided.

The last step is for the team to determine if the child continues to meet the criteria for a disability category. If the child no longer requires special education and related services, based upon an evaluation, the student is dismissed from special education services.

One PWN can serve as documentation when an eligibility decision and FAPE decision (IEP review) occur within the same meeting. Each of these two actions, proposed or refused, should be included in each area of the notice. Although, districts may choose to complete one PWN for each area proposed or refused.

#### EXAMPLE: Refusal Reevaluation by Parent Request & Obtain Additional Data **RIVERSIDE COUNTY SPECIAL EDUCATION LOCAL PLAN AREA (SELPA)** INDIVIDUALIZED EDUCATION PROGRAM **PRIOR WRITTEN NOTICE**

Provided to parent prior to district initiation or refusal regarding change of identification, evaluation, educational placement, or provision of free appropriate public education The use and distribution of this form is limited to employees of public school agencies within the Riverside Special Education Local Plan Area (SELPA)

Student Name:	Jane First	<b>D</b> Middle	<b>Doe</b> Last	/ / Birthdate	<u>2</u> / <u>14</u> / <u>2011</u> Date Notice of Procedural
	FIISL	WILCOLE	Lasi	Difficate	Safeguards was sent to parent
	orm the parent(s) of the ate or change the:	e above named student	regarding the school of	district's:	
Identification	Evaluation Ed	lucational Placement	] The provision of a fi	ee appropriate pub	lic education to your child
other options th written permissi procedures and meeting to discu If your child is fo	at were considered and on must be given befor I type of tests that may uss the results of the eva	the reasons why those of the we assess your child the be given to your child. aluation. education services, a full	ptions were rejected, and o determine eligibility. After the assessment	nd other factors that You have the right t is completed, you w	the this action, a description of any are relevant in this proposal. Your o be familiar with the assessment will be notified in writing of an IEP
Identification	Evaluation Edu	cational Placement	The provision of a fre	e appropriate publi	c education to your child
This notice incl	udes a description of a	ction being refused, an e	explanation of why the c	listrict refused to tak	e this action, a description of any re relevant to this refusal.
		action: Jane Doe's pa ion was completed 15	-		vith the collection of new
		action: Jane's IEP tea			
		•••	•	•	and needs are currently the
		lane of having any ad			
					action: Progress and report
	· •	and observations, sta e current evaluation, o			-
Description of other	options considered a	nd reasons for rejecting	them: The team revi	ewed the current	data on Jane and
					and that this current pecific learning disability.
Other factors releva	nt to the proposal or r	efusal. It is projected	that lane will achiev	e all her IFP goals	s for this current IEP year.
		program and in the ge		•	-
	EGUARDS for an explan	ate and federal procedura nation of these rights. If y			enclosed NOTICE OF rights or the proposed action
Fred G		Teacher		3-4567	fgrimes@abcusd.org
Print Name of D	istrict Contact	Position		Phone	E-mail Address

#### EXAMPLE: Proposal to Conduct the Triennial Early RIVERSIDE COUNTY SPECIAL EDUCATION LOCAL PLAN AREA (SELPA) INDIVIDUALIZED EDUCATION PROGRAM PRIOR WRITTEN NOTICE

Provided to parent prior to district initiation or refusal regarding change of identification, evaluation, educational placement, or provision of free appropriate public education

Student Name:	Janice	R	Funola	//	/ /	
	First	Middle	Last	Birthdate	Date Notice of Procedural	
					Safeguards was sent to parent	
This notice is to inf ⊠ Proposal to initi		e above named studen	t regarding the school d	listrict's:		
Identification	ו 🖂 Evaluation 🗌 Ec	ducational Placement	The provision of a free	ee appropriate pub	lic education to your child	
This notice inc other options th written permiss procedures an meeting to disc If your child is t	ludes a description of th hat were considered and sion must be given befor d type of tests that may cuss the results of the eva-	te proposed action, an e I the reasons why those re we assess your child v be given to your child. aluation. education services, a ful	xplanation of why the dis options were rejected, an to determine eligibility.	trict proposed to tak ad other factors that You have the right to s completed, you w	te this action, a description of any are relevant in this proposal. Your o be familiar with the assessment ill be notified in writing of an IEP	
☐ Identification	ı ∏ Evaluation ∏ Eα	ducational Placement	The provision of a free	ee appropriate pub	lic education to your child	
This notice inc	ludes a description of a	ction being refused, an o	explanation of why the di	istrict refused to take	e this action, a description of any re relevant to this refusal.	
Description of proposed or refused action: The XYZ Unified School District (XYZUSD) is proposing to conduct your child's triennial assessment one year before it is due in August of 2008.						
	<u>Reason(s) for proposed or refused action:</u> XYZUSD is proposing to conduct the triennial assessment one year early because the IEP team needs updated information concerning Janice for the August 15, 2007 IEP team meeting.					
considered the tr	iennial assessment r	eport dated August 1		gust 15, 2006, Dr.	action: XYZUSD . Myers' neurological report 15, 2006 through May 1,	
Description of othe	r options considered a	nd reasons for rejecting	<u>g them</u> : XYZUSD cons	idered conductin	g the triennial	
	-	-	ting a records review			
			th Dr. Myers regarding			
			l services (speech and			
			sessment is warrante		•	
			goals and a transition	pian, and to offe	r placement and	
	ugust 15th IEP meetir		2007 Janico roturnod	to APC High Sol	nool after living with her	
			ng the 2006-2007 scho			
		• •	y and her seizure acti	• •		
-	•	-	dated academic and n	•		
		• •	15, 2007 IEP meeting.			
			al safeguard provisions.	Please refer to the e	Inclosed NOTICE OF	
	EGUARDS for an explar				ights or the proposed action	

Phone

#### EXAMPLE: Proposed 3 Year Reevaluation & Additional Data RIVERSIDE COUNTY SPECIAL EDUCATION LOCAL PLAN AREA (SELPA) INDIVIDUALIZED EDUCATION PROGRAM PRIOR WRITTEN NOTICE

Provided to parent prior to district initiation or refusal regarding change of identification, evaluation, educational placement, or provision of free appropriate public education The use and distribution of this form is limited to employees of public school agencies within the Riverside Special Education (SELPA)

Student Name:	Jane	D	Small	/ /	<u>2</u> / <u>14</u> / <u>2011</u>
	First	Middle	Last	Birthdate	Date Notice of Procedural
					Safeguards was sent to parent

This notice is to inform the parent(s) of the above named student regarding the school district's:  $\boxtimes$  Proposal to initiate or change the:

🗌 Identification 🖂 Evaluation 🔲 Educational Placement 🔲 The provision of a free appropriate public education to your child
This notice includes a description of the proposed action, an explanation of why the district proposed to take this action, a description of any other options that were considered and the reasons why those options were rejected, and other factors that are relevant in this proposal. Your written permission must be given before we assess your child to determine eligibility. You have the right to be familiar with the assessment procedures and type of tests that may be given to your child. After the assessment is completed, you will be notified in writing of an IEP meeting to discuss the results of the evaluation. If your child is found eligible for special education services, a full range of program options will be discussed.
Refusal of your request to initiate or change the:
🗌 Identification 🔲 Evaluation 🔲 Educational Placement 🔛 The provision of a free appropriate public education to your child
This notice includes a description of action being refused, an explanation of why the district refused to take this action, a description of any other options that were considered and the reasons why those options were rejected, and other factors that are relevant to this refusal.

Description of 🖾 proposed or 🗌 refused action: The IEP team, which included Jane's parents, gathered and reviewed
information on Jane and her current performance. The team determined that more information was needed in the area of
fine motor skills. This new information will help the team in determining Jane's eligibility for special education, as well as
needs, as part of a required three-year reevaluation.

<u>Reason(s) for</u> <u>proposed or</u> <u>refused action</u>: Jane is having difficulty printing numbers and letters on paper. As a result, the team felt an occupational therapy evaluation would provide valuable information on fine motor skills.

<u>Description of evaluation procedures, tests, records, or reports used in deciding to propose or refuse this action</u>: Various instruments will be utilized to evaluate Jane's fine motor skills. The occupational therapist will choose the appropriate assessments to assess her needs, and may include the Motor Free Visual Perception Test Developmental Test of Visual Perception, and the Peabody Developmental Motor Scales.

<u>Description of other options considered and reasons for rejecting them</u>: The team considered using only existing information but, in light of writing difficulties, felt an evaluation by an occupational therapist would best determine if OT services are necessary in determining specific classroom accommodations and any necessary therapy interventions.

<u>Other factors relevant to the proposal or refusal</u>: Jane is becoming more frustrated in language arts and math activities that require any type of written response.

Lisa Jam	isa Jam Teacher		ljam@abcusd.org
Print Name of District Contact	Position	Phone	E-mail Address

#### EXAMPLE: Proposed Reevaluation: Student No Longer Qualifies RIVERSIDE COUNTY SPECIAL EDUCATION LOCAL PLAN AREA (SELPA) INDIVIDUALIZED EDUCATION PROGRAM PRIOR WRITTEN NOTICE

Provided to parent prior to district initiation or refusal regarding change of identification, evaluation, educational placement, or provision of free appropriate public education The use and distribution of this form is limited to employees of public school agencies within the Riverside Special Education Local Plan Area (SELPA

Student Name:	Jane	D	Foot	/ /	<u>2</u> / <u>14</u> / <u>2011</u>
_	First	Middle	Last	Birthdate	Date Notice of Procedural
					Safeguards was sent to parent

# This notice is to inform the parent(s) of the above named student regarding the school district's: $\boxtimes$ Proposal to initiate or change the:

🛛 Identification 🗌 Evaluation 🔲 Educational Placement 🖾 The provision of a free appropriate public education to your child

This notice includes a description of the proposed action, an explanation of why the district proposed to take this action, a description of any other options that were considered and the reasons why those options were rejected, and other factors that are relevant in this proposal. Your written permission must be given before we assess your child to determine eligibility. You have the right to be familiar with the assessment procedures and type of tests that may be given to your child. After the assessment is completed, you will be notified in writing of an IEP meeting to discuss the results of the evaluation.

If your child is found eligible for special education services, a full range of program options will be discussed.

Refusal of your request to initiate or change the:

□ Identification □ Evaluation □ Educational Placement □ The provision of a free appropriate public education to your child

This notice includes a description of action being refused, an explanation of why the district refused to take this action, a description of any other options that were considered and the reasons why those options were rejected, and other factors that are relevant to this refusal.

<u>Description of proposed or refused action</u>: Jane's determination for continued eligibility as a student with a disability is due by April 14, 2011. The team reviewed all existing data as the first step in the reevaluation process. The team determined that this data was sufficient to re-determine Jane's eligibility. The team, based on this review of existing data, determined that Jane no longer meets the eligibility criteria for specific learning disability in reading and writing.

<u>Reason(s) for proposed or refused action</u>: Federal law (IDEA) requires that a reevaluation for the continued determination of eligibility be completed every three years. The team determined that no additional data was necessary to make the determination.

<u>Description of evaluation procedures, tests, records, or reports used in deciding to propose or refuse this action</u>: The team determined that the testing from the prior evaluation completed in April 2010 was still current. Achievement data was

reviewed from Jane's most recent CST scores. The current school year's grades, progress reports, input and feedback from the special education teacher and regular teacher, along with samples of Jane's work in the areas of reading and writing, were reviewed.

<u>Description of other options considered and reasons for rejecting them</u>: The IEP Team, based on this review of existing data, also determined that Jane does not qualify for any other disability category.

<u>Other factors relevant to the proposal or refusal</u>: Jane has demonstrated marked achievement in the areas of reading and writing. She is fully included in the regular class and has been receiving minimal support from the special education teacher. Her achievement scores and grade reports indicate Jane is now performing at grade level. Both of Jane's teachers feel she is able to maintain progress without special education services.

Sally Valley	Teacher	123-4567	savlley@abcusd.org
Print Name of District Contact	Position	Phone	E-mail Address

# Discipline

In the special education process, when a student is removed from his or her designated program as a result of a violation of the student code of conduct, the IDEA provides a specific set of protections. The discipline process clearly outlines and requires a PWN when the school seeks to "change the placement" of a student because of a violation. This change of placement is not like an initial placement. It is rather the removal of the student for *more than ten consecutive school days* or removing him or her for *more than ten consecutive school days* or removing him or her for *more than ten consecutive school days* or removing him or her for *more than ten consecutive school days* or removing him or her for *more than ten consecutive school days* or removing him or her for *more than ten consecutive school days* or removing him or her for *more than ten consecutive school days* or removing him or her for *more than ten consecutive school days* or removing him or her for *more than ten consecutive school days* or removing him or her for *more than ten consecutive school days* or removing him or her for *more than ten consecutive school days* or removing him or her for *more than ten consecutive school days* or removing him or her for *more than ten consecutive days* in a school year as a result of violations that constitute a pattern of behavior.

It is important to note that students can be removed for violations of a student code of conduct the same way students without disabilities are removed and, when it **is not** a change in placement, **no PWN is required**.

The discipline process requires that, within 10 days of any decision to change the placement, the parent and relevant members of the IEP team conduct a manifestation determination to determine:

- 1) if the conduct in question was a manifestation of the disability, i.e., caused by or had a direct substantial relationship to the student's disability; and
- 2) if the conduct was a direct result of the local educational agency's failure to implement the IEP.

The purpose of the PWN at this point is to outline for the parent the decision of the IEP team with respect to manifestation and IEP implementation. It should further serve to outline the decisions of the team regarding the services, location, and actual change of placement for the child. For instance, if the student was found to have violated the student code of conduct and the team determined that it was NOT a manifestation of the disability, then that would be noted along with the administrative decisions to pursue long term suspension or expulsion and where the child would be served to continue to receive his FAPE.

On the other hand, a student who violated the same student code of conduct may have been found by the team to have done so under a manifestation of the disability. If this results in no change of placement or removal, then no PWN is necessary. However, if the IEP team then determines, as a result of the manifestation determination, that the IEP needs to be revised or that behavior supports/interventions need to be added to the IEP, such changes must be made at a properly noticed and constituted IEP meeting, which would then include a PWN for the proposed changes. EXAMPLE: Proposed Discipline: Manifestation Determination

#### RIVERSIDE COUNTY SPECIAL EDUCATION LOCAL PLAN AREA (SELPA) INDIVIDUALIZED EDUCATION PROGRAM PRIOR WRITTEN NOTICE

Provided to parent prior to district initiation or refusal regarding change of identification, evaluation, educational placement or provision of free appropriate public education The use and distribution of this form is limited to employees of public school agencies within the Riverside Special Education Local Plan Area (SELPA

Student Name:	<i>John</i> First	D Middle	Jones Last	/ / Birthdate	<u>2</u> / <u>14</u> / <u>2011</u> Date Notice of Procedural Safeguards was sent to parent		
This notice is to inf	orm the parent(s) of the iate or change the:	above named student	regarding the school	district's:	Saleguarus was sent to parent		
Identification	Evaluation 🛛 Edu	ucational Placement	The provision of a f	ree appropriate publ	ic education to your child		
This notice includes a description of the proposed action, an explanation of why the district proposed to take this action, a description of any other options that were considered and the reasons why those options were rejected, and other factors that are relevant in this proposal. Your written permission must be given before we assess your child to determine eligibility. You have the right to be familiar with the assessment procedures and type of tests that may be given to your child. After the assessment is completed, you will be notified in writing of an IEP meeting to discuss the results of the evaluation. If your child is found eligible for special education services, a full range of program options will be discussed.							
Refusal of your request to initiate or change the:							
Identification	🗌 Identification 🔲 Evaluation 🔲 Educational Placement 🔲 The provision of a free appropriate public education to your child						
					e this action, a description of any re relevant to this refusal.		
Description of	proposed or refused	d action: The IEP tean	n determined that th	e latest incident of	<sup>f</sup> a violation of the student		
code of conduct	is a manifestation of	John's disability. Th	herefore, the district	t will not continue w	with the in-school		
suspension but	will implement the rev	vised IEP starting tor	norrow.				

<u>Reason(s) for proposed or refused action</u>: It is evident when reviewing the discipline records that the behavior intervention plan has been successful and John himself has noted that he is regularly implementing the strategies that are taught in his counseling group. However, John's disability is defined by his difficulty managing his own behavior.

<u>Description of evaluation procedures, tests, records, or reports used in deciding to propose or refuse this action</u>: The IEP team reviewed John's most recent evaluation and the updated clinical notes from John's doctor as supplied by John's parents. Additionally, other school personnel were interviewed about John's relationships with adults and his overall progress in meeting his behavior goals.

<u>Description of other options considered and reasons for rejecting them</u>: The IEP team completely reviewed the functional behavioral assessment and determined that the plans developed from that are still effective. They considered other behavioral supports, including a very restrictive program on campus; however, they found that this would not be the least restrictive environment to meet his needs.

<u>Other factors relevant to the proposal or refusal</u>: The IEP team discussed other types of consequences that could be used for a violation of code of conduct that would result in a removal from school, but still communicate to John that there would always be consequences for such behavior. This guide was attached to the behavior plan. Additionally, John and the principal entered into a positive behavioral contract for the next two months, where John can earn ongoing rewards for appropriate behavior directly from the principal.

Kim Swim	Teacher	123-4567	kswim@abcusd.org
Print Name of District Contact	Position	Phone	E-mail Address

# **Revocation of Consent**

In the special education process, when a parent revokes consent to the entire IEP and requests their child to be completely dismissed from special education services, the IDEA provides a specific set of protections. The revocation of consent process clearly outlines and requires a PWN when the school seeks to "change the placement" of a student due to parents revoking consent to all special education and related services AFTER the IEP has been implemented and student has been receiving services.\*

Parents (and students at age 18) have the right to revoke their consent for the student to receive all special education and related services. The parent/guardian must submit this statement in writing (email <u>does</u> count) and they do not have to give a reason for revocation. Please note the revocation is not retroactive so the student files will not be altered to remove documentation of the child receiving special education services. The district will treat the file like any other student who is being dismissed from special education and related services.

Once a revocation of consent is received, the District has the following responsibilities:

- Immediately complete Prior Written Notice and attach cover letter and Procedural Safeguards (send certified mail)
- No IEP meeting or assessment required
- All special education services cease after the Prior Written Notice is sent
- The student should be placed back in their neighborhood school the principal should be notified PWN should contain the implication of student's enrollment back to general education

After the revocation process is completed:

- Student is placed in general education classes
- Special education and related service staff have no authority or responsibility to provide special education services
- The student no longer is protected under IDEA due process for disciplinary actions
- Child Find is the only protection available through the Initial Referral process

\*If during the IEP meeting the parent does not consent to a service or placement, this DOES NOT constitute revocation of consent. Revocation of consent is when a parent/guardian requests their child to be completely removed from all special education supports and services outside of the IEP meeting.

#### EXAMPLE: Proposal Revocation of Consent RIVERSIDE COUNTY SPECIAL EDUCATION LOCAL PLAN AREA (SELPA) INDIVIDUALIZED EDUCATION PROGRAM PRIOR WRITTEN NOTICE

Provided to parent prior to district initiation or refusal regarding change of identification, evaluation, educational placement, or provision of free appropriate public education The use and distribution of this form is limited to employees of public school agencies within the Riverside Special Education Local Plan Area (SELPA)

Student Name:	Calvin First	J Middle	Hobbes Last	/ / D.O.B.	<u>2</u> / <u>14</u> / <u>11</u> Date Notice of Procedural
This notice is to inf	orm the narent(s) of the	ahove named student	regarding the school dis	strict's:	Safeguards was sent to parent
Proposal to initia			regularing the soliton at	51101 5.	
Identification	Evaluation 🖂 Ed	ucational Placement	☑ The provision of a free	e appropriate pub	lic education to your child
□ Identification □ Evaluation ☑ Educational Placement ☑ The provision of a free appropriate public education to your child This notice includes a description of the proposed action, an explanation of why the district proposed to take this action, a description of any other options that were considered and the reasons why those options were rejected, and other factors that are relevant in this proposal. Your written permission must be given before we assess your child to determine eligibility. You have the right to be familiar with the assessment procedures and type of tests that may be given to your child. After the assessment is completed, you will be notified in writing of an IEP meeting to discuss the results of the evaluation and to make recommendations discussed at this meeting without your written consent.					
	found eligible for spec request to initiate or ch		, a full range of program	options will be d	liscussed.
Identification	Evaluation Ed	ucational Placement	The provision of a free	e appropriate pub	lic education to your child
description of relevant to this	any other options that s refusal.	were considered and	the reasons why those	options were reje	refused to take this action, a acted, and other factors that are
					the implementation of
		•	n services on <date>.</date>	,	the student will be e child is a student with a
•				-	cipline protections of the
-	-	nprovement Act of 20			
			· · ·	s is being propo	osed because parent has
notified <district r<="" td=""><td>name&gt; in writing of th</td><td>eir revocation of con</td><td>sent to <student's nai<="" td=""><td>ne&gt; active IEP.</td><td></td></student's></td></district>	name> in writing of th	eir revocation of con	sent to <student's nai<="" td=""><td>ne&gt; active IEP.</td><td></td></student's>	ne> active IEP.	
Description of evalu	ation procedures, tests	s, records, or reports u	sed in deciding to propo	se or refuse this	action: Based on Code of
•	•				evocation of consent and
•				-	APE available to the child.
					<pre><fill fape="" here="" in=""> as action 200 0/C)/1) the district</fill></pre>
<student's name=""> Free and Appropriate Public Education (FAPE). Per Federal Regulations 34 Section 300.9(C)(1), the district may not continue to provide services once the parents have provided a written revocation of consent.</student's>					
•	•	•	•		ducation records to remove
					se mediation or due process
procedures to obtain agreement or ruling that special education services may be provided. If the parent believes the student					
requires special education services, they may initiate the special education assessment process by making a request in					
writing to the district special education office. This will be considered an initial assessment, as the district is not deemed to have knowledge that the child is a child with a disability.					
			ard provisions. Please	refer to the enclo	sed NOTICE OF
PROCEDURAL SAFEGUARDS for an explanation of these rights. If you would like further information about your rights or the proposed action and/or referral please contact:					
~ ~··		Spec Education	n		111 o 1 <sup>20</sup> 1

	Spec Education		
Suzy Dirkens	Administrator	123-456-7890	sdirkins@ab&asd.org
Print name of District Contact	Position	Phone	E-mail Address

# **Tips for Creating a Compliant Prior Written Notice**

- Complete <u>all</u> of the items on the prior written notice form. Avoid using phrases and terms such as "N/A," "not applicable," or "see above."
- Ensure that each item of information on the form is understandable on its own merit. Don't rely on another form or another piece of information to convey information that the PWN must communicate.
- When asked to "describe" on the form, provide a comprehensive written account or list the required items.
- When asked to "explain" on the form, provide an adequate justification or reason(s) for the action.
- Write the PWN as if you are explaining the items on this notice to a reader who is not conversant with special education or the special education process. Avoid abbreviations, unfamiliar terminology, and educational jargon that may not be understood by all readers, especially when a new student is being evaluated or placed.
- If the form is completed by the conclusion of a meeting with the parent, review it carefully with the parent.
- Ensure that the form is translated, if necessary. If the parent has a written language other than English, provide this notice in that language.
- ♦ Be sure the form is dated and the date is correct.
- Write legibly in handwriting that is large enough and legible enough to be easily read and understood by all readers.
- ♦ Use conventional grammar and correct spelling.
- Use a writing instrument that produces handwriting that will copy or fax clearly.
- Remember to provide a copy of the procedural safeguards notice to the parent, when required. If the safeguards did not accompany the meeting notice for that particular meeting, provide them at the conclusion of the meeting and document the provision on the prior written notice. If the procedural safeguards were sent with the meeting notice, then offer to review the safeguards when you provide prior written notice (PWN) at the conclusion of the meeting and document the procedural safeguards on the PWN.

#### RIVERSIDE COUNTY SPECIAL EDUCATION LOCAL PLAN AREA (SELPA) INDIVIDUALIZED EDUCATION PROGRAM PRIOR WRITTEN NOTICE

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Student Name:				/ /	1 1
	First	Middle	Last	Birthdate	Date Notice of Procedural Safeguards was sent to parent
	orm the parent(s) of th ate or change the:	ne above named student i	regarding the school	district's:	
Identification	Evaluation E	ducational Placement	] The provision of a f	ree appropriate pub	lic education to your child
other options th written permissi procedures and	at were considered an ion must be given befo	d the reasons why those of ore we assess your child to y be given to your child.	ptions were rejected, a o determine eligibility.	nd other factors that You have the right to	te this action, a description of any are relevant in this proposal. Your o be familiar with the assessment ill be notified in writing of an IEP
If your child is for	ound eligible for specia	l education services, a full	range of program optio	ns will be discussed.	
Refusal of your I	request to initiate or	change the:			
Identification	Evaluation E	ducational Placement	] The provision of a f	ree appropriate pub	lic education to your child
		action being refused, an ex d the reasons why those op			e this action, a description of any re relevant to this refusal.
Description ofpr	oposed or <u>refus</u> ed	action:			
Reason(s) for 🗌 pr	oposed or 🗌 refused	d action:			
Description of evalu	ation procedures, tes	sts, records, or reports us	ed in deciding to pro	pose or refuse this a	action:
Description of other	r options considered a	and reasons for rejecting	them:		
Other factors releva	nt to the proposal or	refusal:			
		tate and federal procedura			
					rights or the proposed action

and/or referral please contact: